

Uniqueness and non-uniqueness of prescribed mass NLS ground states on metric graphs

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Nonlinear Schrödinger on metric graphs

Given a metric graph \mathcal{G} , consider the NLS energy functional

$$E(u) = \frac{1}{2} \int_{\mathcal{G}} |u'|^2 dx - \frac{1}{p} \int_{\mathcal{G}} |u|^p dx$$

subject to the mass constraint

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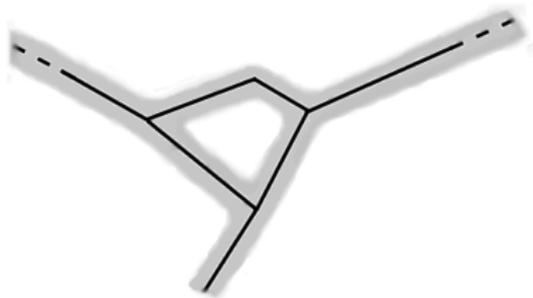
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Problem. Are ground states at fixed mass **unique**?

Physical motivations

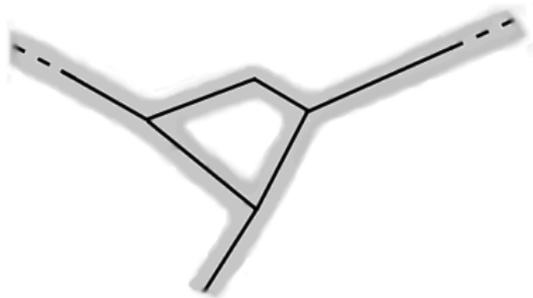
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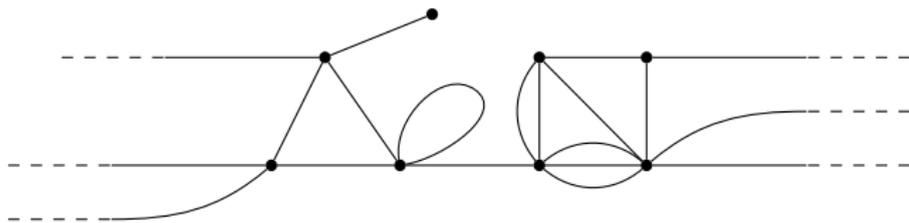
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- **Metric graphs** provide one-dimensional approximations for constrained dynamics in which **transverse dimensions are negligible compared to longitudinal ones**.
- Bose–Einstein condensates
- Spectrum of valence electrons in organic molecules
- Nanotechnologies (circuits of quantum wires)
- Spectra of electromagnetic waves in thin dielectrics
- Thin acoustic waveguides
- And more...

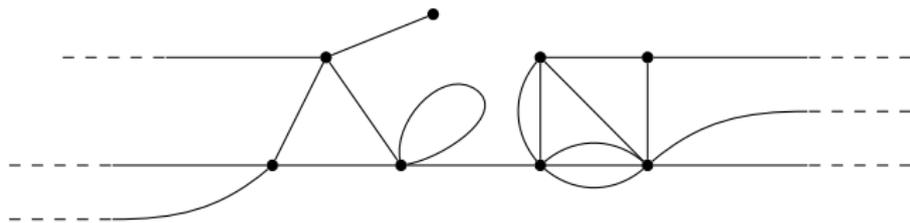
Metric graphs

A **metric graph** \mathcal{G} is a **connected network** made up of **intervals** (**bounded** or **unbounded**), **joined together** at their endpoints, according to a given topology.



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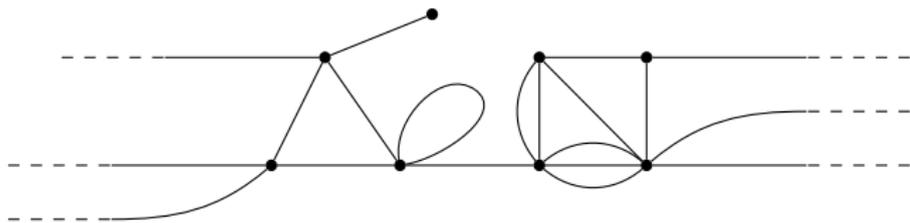
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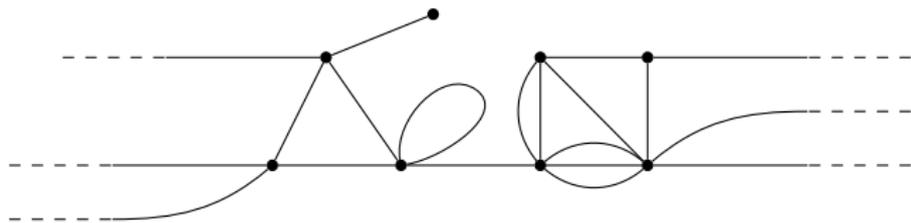
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- With the **shortest-path** distance, we obtain a **metric space** \mathcal{G} .
- The spaces $L^p(\mathcal{G})$ are defined in the usual way, with Lebesgue measure on every edge.

The Sobolev space $H^1(\mathcal{G})$ is defined as follows

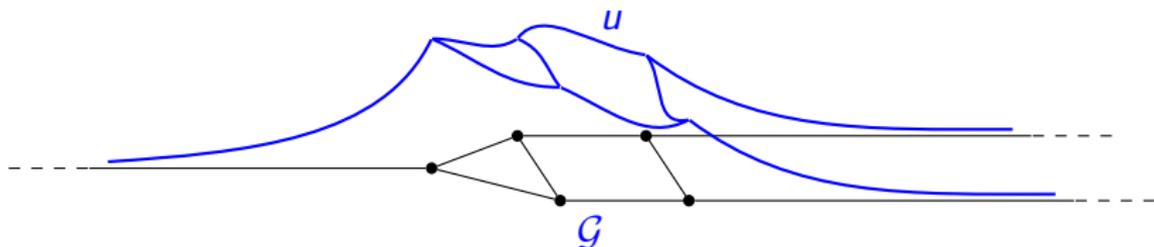
$$u \in H^1(\mathcal{G}) \iff \begin{cases} u \in H^1(e) & \text{for every edge } e \text{ of } \mathcal{G} \\ u : \mathcal{G} \rightarrow \mathbb{R} & \text{is continuous on } \mathcal{G} \end{cases}$$

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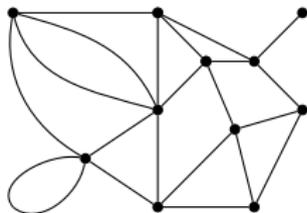
Here is what a typical $H^1(\mathcal{G})$ function looks like:



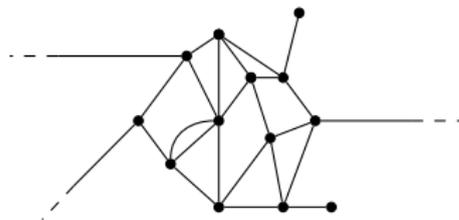
Preliminaries: existence of ground states

Existence/non-existence of **ground states** has been widely investigated on various graphs.

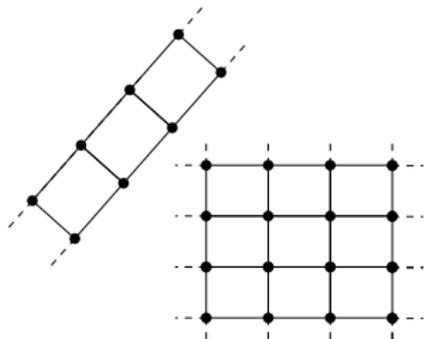
Compact graphs



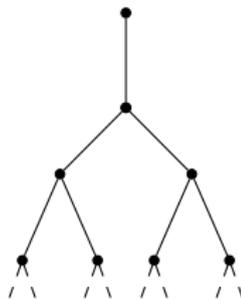
Graphs with **half-lines**



Periodic graphs



Infinite **trees**



What about uniqueness?

Let u be a ground state at mass μ . Then there exists $\lambda \in \mathbb{R}$ so that u solves on \mathcal{G} the stationary NLS equation

$$u'' + |u|^{p-2}u = \lambda u. \quad (1)$$

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- 1 do ground states at the same mass μ share the same λ ?
- 2 given λ , is there a **unique** solution to the stationary NLS (1)?

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Main difficulties: nonlinearity, mass constraint, general domains

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Given $\lambda > 0$, uniqueness of the positive solution to

$$\Delta u + |u|^{p-2}u = \lambda u$$

has been proved on the ball and in few other cases.

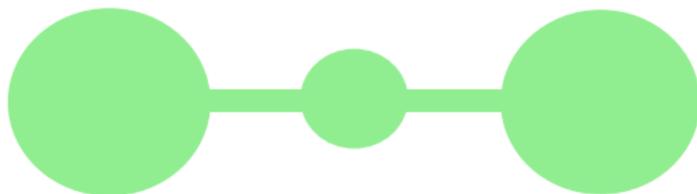
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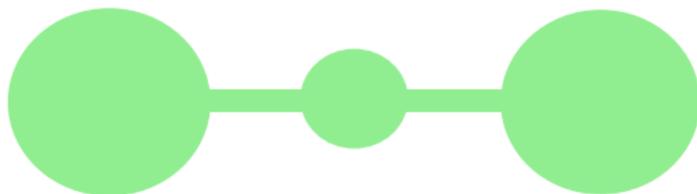
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Open conjecture. If Ω is bounded and convex and $2 < p \leq 2^*$, then whenever a positive solution exists, it is unique.

Main results I: uniqueness of λ

Let \mathcal{G} be a given metric graph, $p \in (2, 6]$ and $J \subset \mathbb{R}^+$ be an interval so that ground states at mass μ on \mathcal{G} exist for every $\mu \in J$.

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Theorem (D., Serra, Tilli, Adv. Math. '20)

For all but at most countably many $\mu \in J$:

- *ground states at mass μ share the same $\lambda = \lambda(\mu)$;*
- *$\lambda(\mu)$ is a strictly increasing function of μ ;*
- *$\mathcal{E}(\mu) := \inf_{u \in H_{\mu}^1(\mathcal{G})} E(u)$ is differentiable at μ and*

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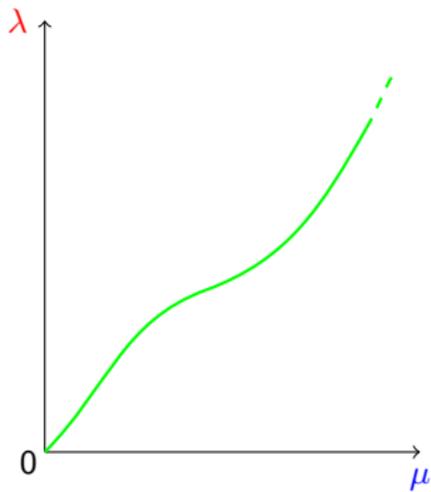
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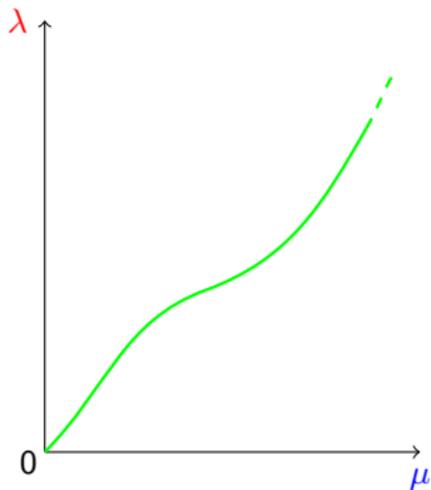
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Wide range of applications: **subcritical**/**critical** powers, general metric graphs, general **domains** in \mathbb{R}^n .

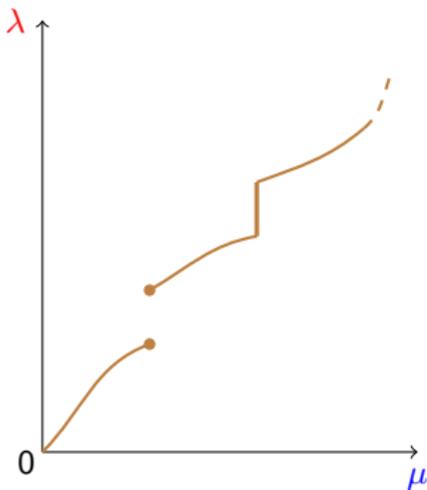
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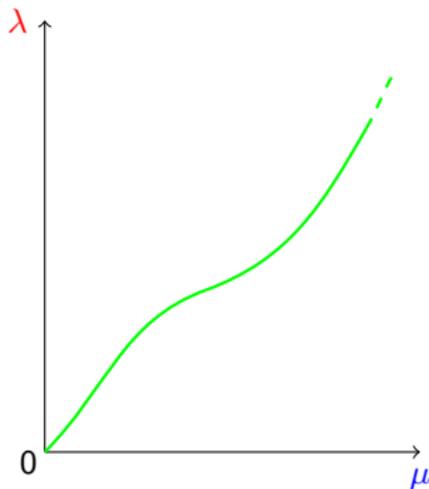
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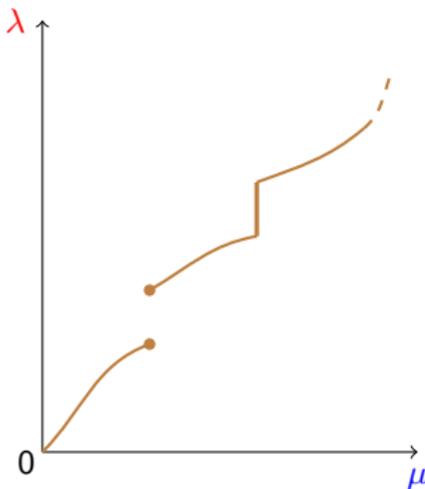
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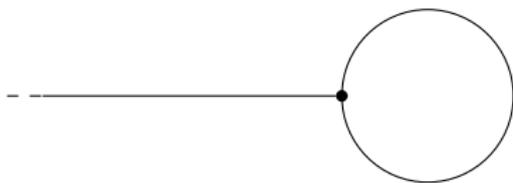
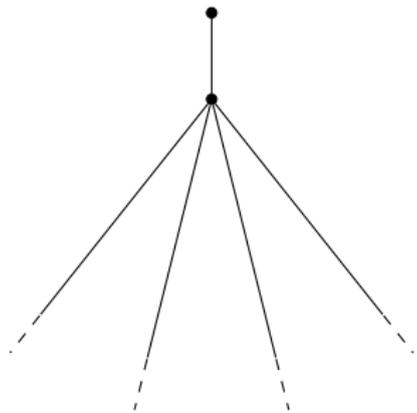
Two natural questions:

- can we prove **uniqueness** of ground states at masses where λ is unique?
- can we get rid **in general** of the possible at most countable set of masses where λ may not be unique?

Main results II: uniqueness of ground states

Theorem (D., Serra, Tilli, Adv. Math. '20)

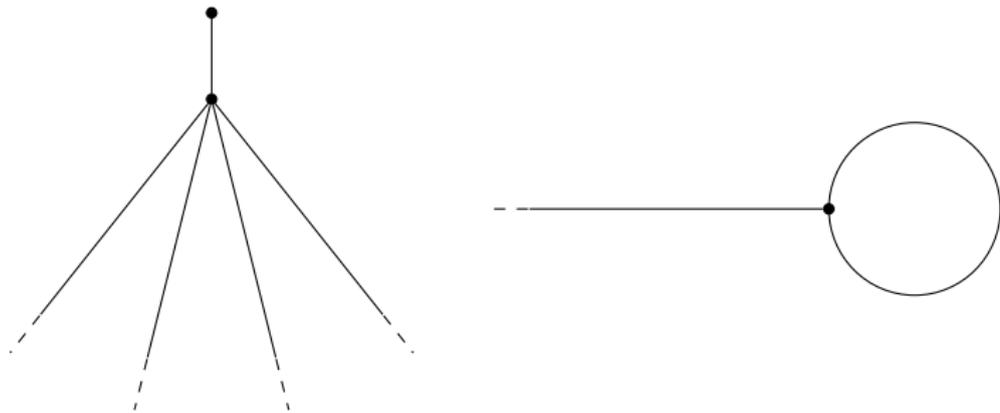
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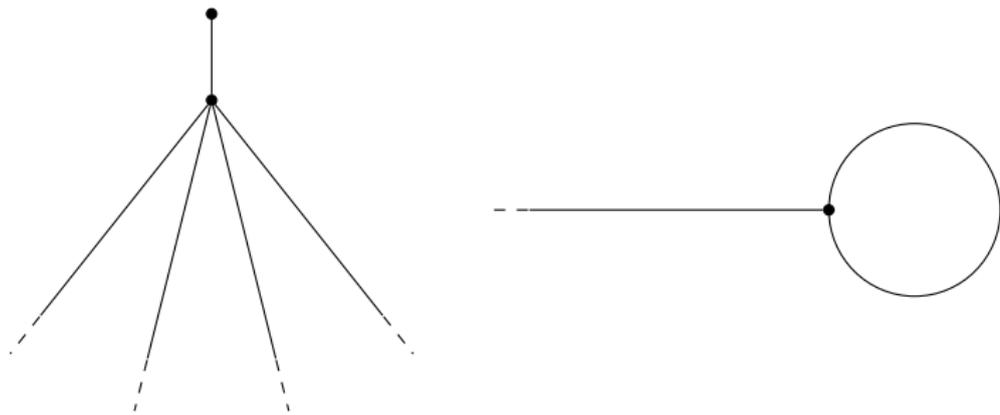
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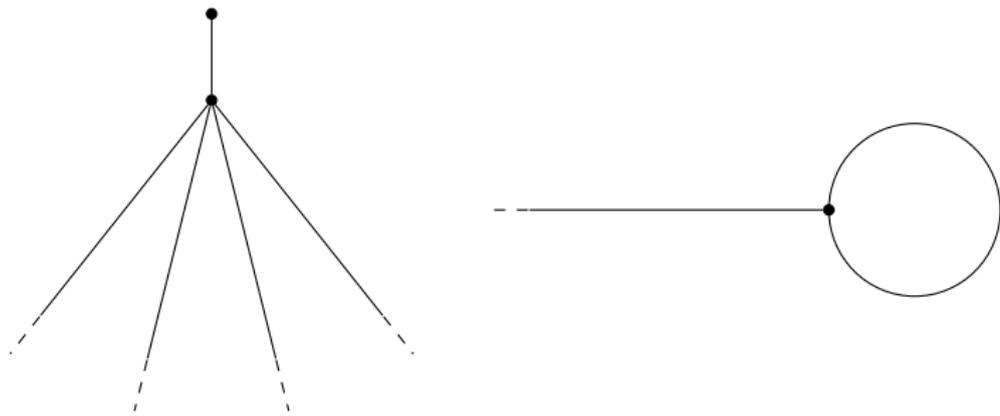
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Idea of the proof:

- same λ for all but at most countably many μ ;
- ODE methods to prove uniqueness of positive solutions to $u'' + |u|^{p-2}u = \lambda u$.

Main results III: non-uniqueness

Theorem (D., Serra, Tilli, Adv. Math. '20)

Let $p \in (2, 6)$. For every $\mu > 0$ there exist a graph \mathcal{G} that admits two ground states at mass μ with different λ .

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Our theorem on the uniqueness of λ is sharp: a priori, the at most countable set of masses where it may fail cannot be removed.

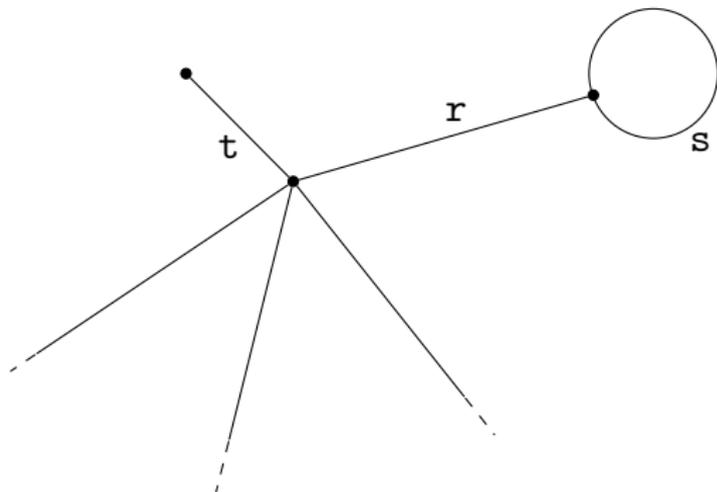
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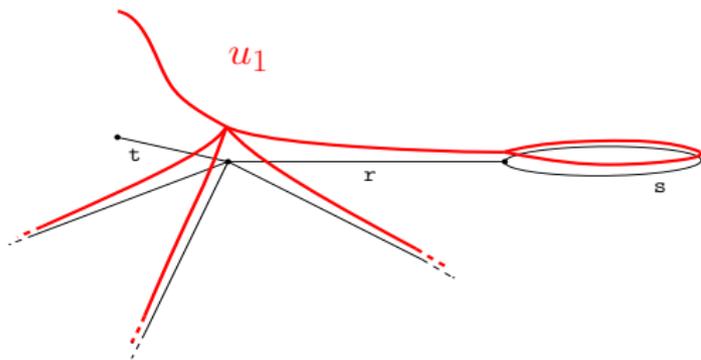
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Idea of the proof: given p and μ , we **calibrate** the graph

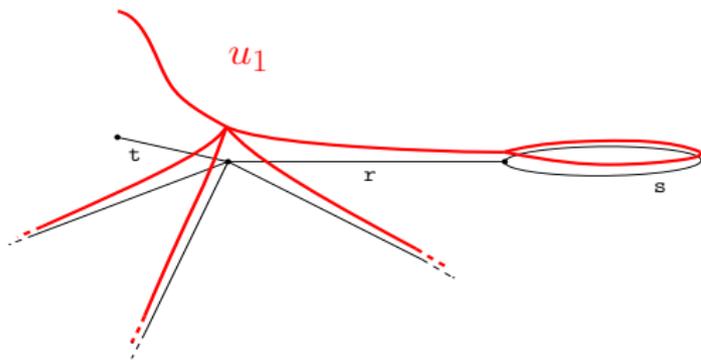


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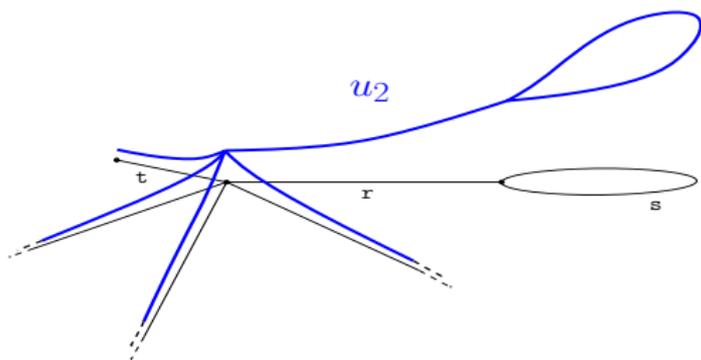
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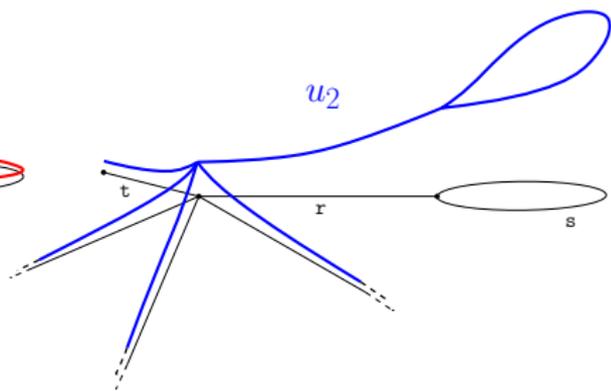
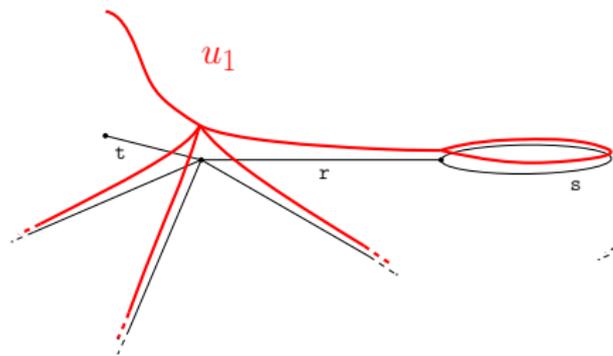


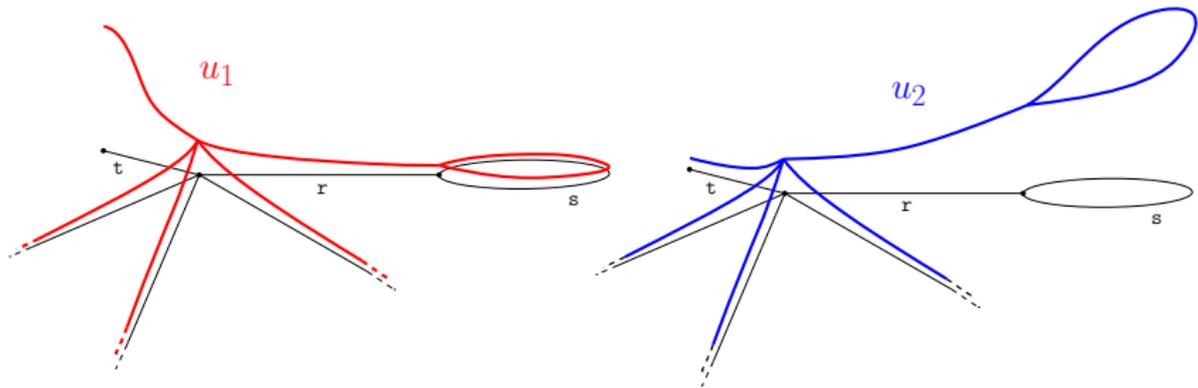
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the other concentrates on the **self-loop**

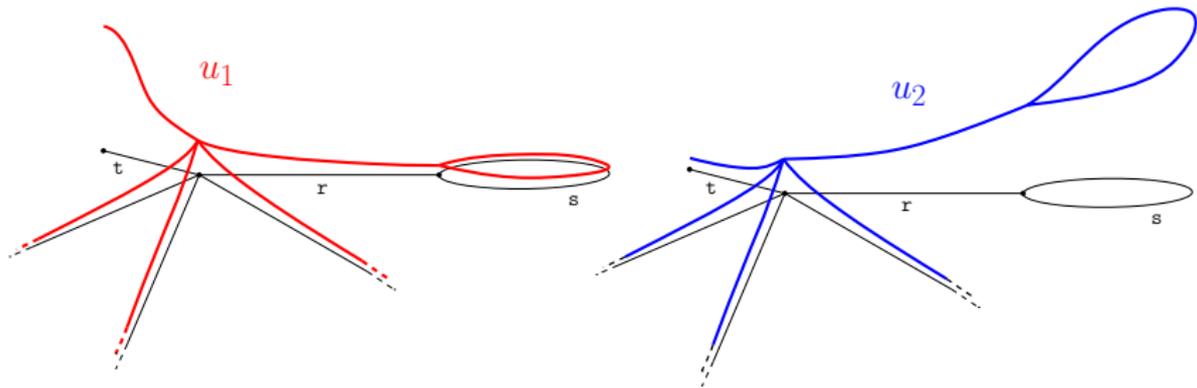






There exist:

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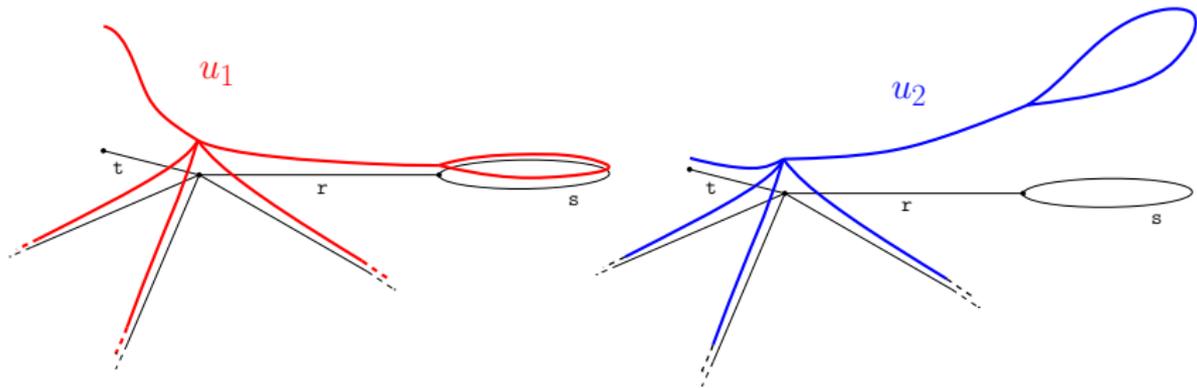


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but

$$\lambda(u_1) \neq \lambda(u_2).$$

Thank you!