

# Eigenvalues and [ $a, b$ ]-factors in Regular Graphs

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## Question

**Question.** If  $G$  is an  $h$ -edge-connected  $r$ -regular graph, then what are **best upper bounds for a certain eigenvalue** to guarantee the existence of an (even or odd) **[ $a, b$ ]-factor**?

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- ▶ For nonnegative integers  $a$  and  $b$  with  $a \leq b$ , an **(even or odd)  $[a, b]$ -factor** of  $G$  is a  $(g, f)$ -factor  $F$  such that **( $d_F(v)$  is even or odd and)  $g(v) = a$  and  $f(v) = b$**  for all  $v \in V(G)$ ; if  $a = b = k$ , then we call it a  $k$ -factor.

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- ▶ A graph  $G$  is  $r$ -regular if every vertex has the same degree  $r$ .

# Characterization of Triples $(k, r, h)$

Many researchers tried to characterize the triples  $(k, r, h)$  such that every  $h$ -edge-connected  $r$ -regular graph has an  $k$ -factor.



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### Theorem (Petersen, 1891)

For positive integers  $k$  and  $r$  with  $k \leq r$ , every  $2r$ -regular graph has a  $2k$ -factor.

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## Theorem (Gallai, 1950)

Let  $k, r$ , and  $h$  be positive integers with  $1 \leq r$ , and let  $G$  be a  $h$ -edge-connected  $r$ -regular graph with  $n$  vertices. If one of the following conditions holds, then  $G$  contains a  $k$ -factor.

- (i)  $r$  is even,  $k$  is odd,  $n$  is even, and  $r \leq h \min\{k, r - k\}$ ;
- (ii)  $r$  is odd,  $k$  is even, and  $r \leq (r - k)h$ ;
- (iii) both  $r$  and  $k$  are odd and  $r \leq kh$ .

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Niessen and Randerath (1998) extended their result by adding one more condition in terms of the number of vertices. In fact, they proved an upper bound for the number of vertices related to  $k, r, h$  to guarantee the existences of a  $k$ -factor in an  $n$ -vertex  $h$ -edge-connected  $r$ -regular graph.

# Basic Definitions

- ▶ The **adjacency matrix**  $A(G)$  (or simply  $A$ ) of a graph  $G$  with vertex set  $\{v_1, \dots, v_n\}$  is the  $n$ -by- $n$  matrix such that  $A_{ij}$  is the number of edges between  $v_i$  and  $v_j$ .

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- ▶ A **matching** in  $G$  is a **set of disjoint edges**.
- ▶ The **matching number** of  $G$ , written  $\alpha'(G)$ , is the **maximum size of a matching** in it.

$\lambda_3$  and 1-factor in Regular Graphs

## Theorem (Brouwer and Haemers, 2005)

If  $G$  is a connected  $r$ -regular graph on even  $n$  vertices with

$$\lambda_3 \leq \begin{cases} r - 1 + \frac{3}{r+1} & \text{if } r \text{ is even,} \\ r - 1 + \frac{3}{r+2} & \text{if } r \text{ is odd,} \end{cases}$$

then  $G$  has an 1-factor.

$\lambda_3$  and Near Perfect Matching in Regular Graphs

Theorem (Cioaba, Gregory, and Haemers, 2009)

If  $G$  is a connected  $r$ -regular graph of order  $n$  such that

$$\lambda_3 < \rho(r),$$

then  $\alpha'(G) = \lfloor \frac{n}{2} \rfloor$ .

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If  $\theta$  is the largest root of  $x^3 - x^2 - 6x + 2 = 0$ , then

$$\rho(r) = \begin{cases} \theta = 2.85577\dots & \text{if } r = 3, \\ \frac{1}{2}(r - 2 + \sqrt{r^2 + 12}) & \text{if } r \geq 4 \text{ is even,} \\ \frac{1}{2}(r - 3 + \sqrt{(r+1)^2 + 16}) & \text{if } r \geq 5 \text{ is odd.} \end{cases}$$

## Eigenvalues and Matching Number

## Theorem (Cioaba and O, 2010)

Let  $p \geq 3$  be an integer. If  $G$  is a  $h$ -edge-connected  $r$ -regular graph such that  $\lambda_p(G) < \rho(r)$ , then

$$\alpha'(G) > \begin{cases} \frac{n-p + \lfloor \frac{hp}{r} \rfloor}{2} & \text{when } r \equiv h \pmod{2} \\ \frac{n-p + \lfloor \frac{(h+1)p}{r} \rfloor}{2} & \text{when } r \equiv h+1 \pmod{2}. \end{cases}$$

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## Corollary (Cioaba, Gregory, and Haemaers, 2009)

If  $G$  is a connected  $r$ -regular graph of order  $n$  such that  $\lambda_3 < \rho(r)$ , then  $\alpha'(G) > \frac{n-2}{2}$ .

$\lambda_3$  and  $k$ -factor in Regular Graph

## Theorem (Lu, 2010)

Let  $G$  be a connected  $r$ -regular graph on  $n$  vertices and  $1 \leq k < r$ .

(i)  $r$  is even,  $k$  is odd,  $n$  is even, and  $m$  is an integer such that  $r \leq km$  and  $r \leq (r - k)m$ . If

$$\lambda_3(G) < \begin{cases} \frac{1}{2}(r - 2 + \sqrt{(r + 2)^2 - 4(m - 2)}), & \text{if } m \text{ is even,} \\ \frac{1}{2}(r - 2 + \sqrt{(r + 2)^2 - 4(m - 1)}), & \text{if } m \text{ is odd,} \end{cases}$$

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(ii)  $r$  is odd,  $k$  is even, and  $m$  is odd with  $r \leq (r - k)m$  or even with  $r \leq (r - k)(m + 1)$ . If

$$\lambda_3(G) < \begin{cases} \frac{1}{2}(r - 3 + \sqrt{(r + 3)^2 - 4(m - 1)}), & \text{if } m \text{ is even,} \\ \frac{1}{2}(r - 3 + \sqrt{(r + 3)^2 - 4(m - 1)}), & \text{if } m \text{ is odd,} \end{cases}$$

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then  $G$  has a  $k$ -factor.

Eigenvalues and  $k$ -factor in  $h$ -edge-connected Regular Graph

## Theorem (Gu, 2014)

Let  $r, k, h, h'$ , and  $h^*$  be positive integers such that  $r \geq 3$ ,  $k < r$ ,  $h \leq r$ ,  $h' \in \{h, h+1\}$  is an even number, and  $h^* \in \{h, h+1\}$  is an odd number. Suppose that  $G$  is an  $h$ -edge-connected  $r$ -regular graph.

(i) For even  $r$ , odd  $k$ , even  $|V(G)|$ ,  $\hat{k} = \min\{k, r-k\}$ , and  $m = \lceil \frac{r}{\hat{k}} \rceil$ , if  $r \leq \hat{k}h'$ , or if  $r > \hat{k}h'$  and  $\lambda_{\lceil \frac{2r}{r-\hat{k}h'} \rceil}(G) < \rho(r, k, m)$ , then  $G$  has a  $k$ -factor.

(ii) For both odd  $r$  and odd  $k$ , and for  $m = \lceil \frac{r}{k} \rceil$ , if  $r \leq kh^*$ , or, if  $r > kh^*$  and  $\lambda_{\lceil \frac{2r}{r-kh^*} \rceil}(G) < \rho(r, k, m)$ , then  $G$  has a  $k$ -factor.

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## Spectral Bound for odd [1, b]-factor

## Theorem (Lu, Wu, and Yang 2010)

Let  $G$  be a connected  $r$ -regular graph of even order  $n$ ,  $r \geq 3$ , and eigenvalues  $r = \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ . If one of the following conditions holds,  $G$  contains an **odd [1, b]-factor**:

- (1)  $r$  is even,  $\lceil \frac{r}{b} \rceil$  is even, and  $\lambda_3 \leq r - \frac{\lceil \frac{r}{b} \rceil - 2}{r+1} + \frac{1}{(r+1)(r+2)}$ ,
- (2)  $r$  is even,  $\lceil \frac{r}{b} \rceil$  is odd, and  $\lambda_3 \leq r - \frac{\lceil \frac{r}{b} \rceil - 1}{r+1} + \frac{1}{(r+1)(r+2)}$ ,
- (3)  $r$  is odd,  $\lceil \frac{r}{b} \rceil$  is even, and  $\lambda_3 \leq r - \frac{\lceil \frac{r}{b} \rceil - 1}{r+1} + \frac{1}{(r+2)^2}$ ,
- (4)  $r$  is odd,  $\lceil \frac{r}{b} \rceil$  is odd, and  $\lambda_3 \leq r - \frac{\lceil \frac{r}{b} \rceil - 2}{r+1} + \frac{1}{(r+2)^2}$ .

## A Sharp Spectral Bound for odd [1, b]-factor

## Theorem (Kim, O, Park, and Park 2020)

Let  $G$  be a connected  $r$ -regular graph of even order  $n$ ,  $r \geq 3$ , and eigenvalues  $r = \lambda_1 \geq \dots \geq \lambda_n$ . If one of the following conditions holds,  $G$  contains an odd  $[1, b]$ -factor:

$$\lambda_3 < \begin{cases} \frac{r-2+\sqrt{(r+2)^2-4(\lceil \frac{r}{b} \rceil-2)}}{2} & \text{if both } r \text{ and } \lceil \frac{r}{b} \rceil \text{ are even} \\ \frac{r-2+\sqrt{(r+2)^2-4(\lceil \frac{r}{b} \rceil-1)}}{2} & \text{if } r \text{ is even and } \lceil \frac{r}{b} \rceil \text{ is odd} \\ \frac{r-3+\sqrt{(r+3)^2-4(\lceil \frac{r}{b} \rceil-2)}}{2} & \text{if both } r \text{ and } \lceil \frac{r}{b} \rceil \text{ are odd} \\ \frac{r-3+\sqrt{(r+3)^2-4(\lceil \frac{r}{b} \rceil-1)}}{2} & \text{if } r \text{ is odd and } \lceil \frac{r}{b} \rceil \text{ is even.} \end{cases}$$

# Setting

Let  $r_{ab} = \min\{r - a, b\}$ , let

$$\eta = \begin{cases} \lceil \frac{r}{r_{ab}} \rceil - 1 & \text{if } r \text{ is even, } a \text{ and } b \text{ are odd, and } \lceil \frac{r}{r_{ab}} \rceil \text{ is odd,} \\ \lceil \frac{r}{r_{ab}} \rceil - 2 & \text{if } r \text{ is even, } a \text{ and } b \text{ are odd, and } \lceil \frac{r}{r_{ab}} \rceil \text{ is even,} \\ \lceil \frac{r}{b} \rceil - 1 & \text{if } r \text{ is odd, } a \text{ and } b \text{ are odd, and } \lceil \frac{r}{b} \rceil \text{ is even,} \\ \lceil \frac{r}{b} \rceil - 2 & \text{if } r \text{ is odd, } a \text{ and } b \text{ are odd, and } \lceil \frac{r}{b} \rceil \text{ is odd,} \\ \lceil \frac{r}{r-a} \rceil - 1 & \text{if } r \text{ is odd, } a \text{ and } b \text{ are odd, and } \lceil \frac{r}{r-a} \rceil \text{ is even,} \\ \lceil \frac{r}{r-a} \rceil - 2 & \text{if } r \text{ is odd, } a \text{ and } b \text{ are odd, and } \lceil \frac{r}{r-a} \rceil \text{ is odd,} \end{cases}$$

and let  $\rho(r, a, b) = \begin{cases} \frac{r-2+\sqrt{(r+2)^2-4\eta}}{2} & \text{if } r \text{ is even,} \\ \frac{r-3+\sqrt{(r+3)^2-4\eta}}{2} & \text{if } r \text{ is odd.} \end{cases}$

## A Sharp Spectral Bound for [a, b]-factor

## Theorem (O, 2020 +)

Let  $r, a, b, h, h'$ , and  $h^*$  be positive integers such that  $r \geq 3$ ,  $a \leq b < r$ ,  $h \leq r$ ,  $h' \in \{h, h+1\}$  is an even number, and  $h^* \in \{h, h+1\}$  is an odd number. Suppose that  $G$  is an  $h$ -edge-connected  $r$ -regular graph.

- (i) For even  $r$ , odd  $a, b$ , and even  $|V(G)|$ , if  $r \leq r_{ab}h'$ , or if  $r > r_{ab}h'$  and  $\lambda_{\lceil \frac{2r}{r-r_{ab}h'} \rceil}(G) < \rho(r, a, b)$ , then  $G$  has an odd  $[a, b]$ -factor.
- (ii) For both odd  $r$  and odd  $a, b$ , if  $r \leq bh^*$ , or, if  $r > bh^*$  and  $\lambda_{\lceil \frac{2r}{r-bh^*} \rceil}(G) < \rho(r, a, b)$ , then  $G$  has an odd  $[a, b]$ -factor.
- (iii) For odd  $r$  and even  $a, b$ , if  $r \leq (r-a)h^*$ , or, if  $r > (r-a)h^*$  and  $\lambda_{\lceil \frac{2r}{r-(r-a)h^*} \rceil}(G) < \rho(r, a, b)$ , then  $G$  has an even  $[a, b]$ -factor.

## Tool

Lovasz's parity  $(g, f)$ -factor Theory

Let  $G$  be a graph and let  $g, f$  be two integer valued functions defined on  $V(G)$  such that  $0 \leq g(v) \leq f(v) \leq d_G(v)$  and  $g(v) \equiv f(v) \pmod{2}$  for all  $v \in V(G)$ . Then  $G$  has a  $(g, f)$ -factor  $F$  such that  $d_F(v) \equiv f(v) \pmod{2}$  for all  $v \in V(G)$  if and only if

$$\sum_{v \in T} (d(v) - g(v)) + \sum_{u \in S} f(u) - |[S, T]| - q(S, T) \geq 0$$

for all disjoint subsets  $S$  and  $T$  of  $V(G)$ , where  $q(S, T)$  is the number of components  $Q$  of  $G - (S \cup T)$  such that

$$|[V(Q), T]| + \sum_{v \in V(Q)} f(v) \equiv 1 \pmod{2}.$$



## Tool

## Corollary

Let  $a$  and  $b$  be odd integers with  $2 \leq a \leq b$ . A graph  $G$  has an odd  $[a, b]$ -factor if and only if

$$\delta(S, T) := q(S, T) - b|S| + a|T| - \sum_{v \in T} d_{G-S}(v) \leq 0$$

for all disjoint subsets  $S$  and  $T$  of  $V(G)$ , where  $q(S, T)$  is the number of components  $Q$  of  $G - (S \cup T)$  such that  $||V(Q), T|| + b|V(Q)|$  is odd.

## Tool

## Corollary

Let  $a$  and  $b$  be even integers with  $2 \leq a \leq b$ . A graph  $G$  has an even  $[a, b]$ -factor if and only if

$$\delta(S, T) := q(S, T) - b|S| + a|T| - \sum_{v \in T} d_{G-S}(v) \leq 0$$

for all disjoint subsets  $S$  and  $T$  of  $V(G)$ , where  $q(S, T)$  is the number of components  $Q$  of  $G - (S \cup T)$  such that  $|[V(Q), T]|$  is odd.

## Question

**Question.** If  $G$  is an  $h$ -edge-connected  $r$ -regular graph and  $a - b \equiv 1 \pmod{2}$ , then what are **best upper bounds for a certain eigenvalue** to guarantee the existence of an **[ $a, b$ ]-factor**?

$$a - b \equiv 1 \pmod{2}$$

### Lovasz's $(g, f)$ -factor Theory

Let  $G$  be a graph and let  $g, f$  be two integer valued functions defined on  $V(G)$  such that  $0 \leq g(v) \leq f(v) \leq d_G(v)$  for all  $v \in V(G)$ . Then  $G$  has a  $(g, f)$ -factor  $F$  if and only if

$$\sum_{v \in T} (d(v) - g(v)) + \sum_{u \in S} f(u) - |[S, T]| - q(S, T) \geq 0$$

for all disjoint subsets  $S$  and  $T$  of  $V(G)$ , where  $q(S, T)$  is the number of components  $Q$  of  $G - (S \cup T)$  such that  $g(v) = f(v)$  for all  $v \in V(Q)$  and

$$|[V(Q), T]| + \sum_{v \in V(Q)} f(v) \equiv 1 \pmod{2}.$$

## Tool

## Corollary

Let  $a$  and  $b$  be positive integers with  $1 \leq a < b$ . A graph  $G$  has an  $[a, b]$ -factor if and only if

$$\delta(S, T) := -b|S| + a|T| - \sum_{v \in T} d_{G-S}(v) \leq 0$$

for all disjoint subsets  $S$  and  $T$  of  $V(G)$ .

Thank you

# Thank You : )



Figure: From Globe Guide